

Docket No.: RIC99027

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Donovan et al.

Confirmation No.: 5716

Serial No.: 09/416,101

Art Unit: 2664

Filed: October 12, 1999

Examiner: B. Pham

Title: Customer Resources Policy Control for IP Traffic Delivery

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INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Dear Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the documents listed on the attached form PTO-1449. It is respectfully requested that the documents be expressly and independently considered during the prosecution of this application, and that the documents be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is being submitted before the mailing date of either a final action or a notice of allowance and is accompanied by the requisite fee of \$180.00.

Each of the documents listed on the attached form equivalent to Form PTO-1449 is in the English language.

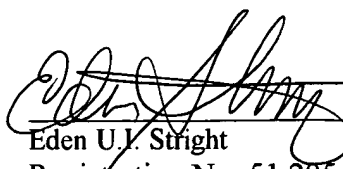
It is respectfully requested that the documents cited in this Information Disclosure Statement be independently considered by the Examiner.

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10/15/2003 SBIZONES 00000152 132491 09416101
01 FEB 2006 180.00 DA

Respectfully submitted,

Date: October 8, 2003


Eden U.I. Stright
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The documents listed herein are submitted under 37 C.F.R. § 1.97(e)(1), and are before the mailing date of any of a final action under § 1.113, a notice of allowance under § 1.311, or an action that otherwise closes prosecution in the application, therefore no fee is due.

Each item of information contained in the information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

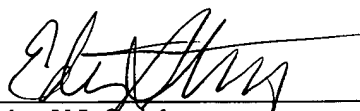
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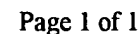
Respectfully submitted,

Date: October 6, 2003



Eden U.I. Stright
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FORM FD-1449
(REV. 7-80)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.

SERIAL NO.

RJC99027

09/416,101

LIST OF DOCUMENTS CITED BY APPLICANT

(Use several sheets if necessary)

APPLICANT(S): Donovan et al.

FILING DATE

GROUP

October 12, 1999

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U.S. PATENT DOCUMENTS

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FOREIGN PATENT DOCUMENTS

TRANSLATION

YES	NO
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Ping et al., "Diameter: Policy and Accounting Extension for SIP", Internet Draft, Nov. 15, 1998, <http://www.cs.columbia.edu/sip/drafts/draft-pan-diameter-sip-01.txt>

Ekstein et al., "AAA Protocols: Comparison Between RADIUS and DIAMETER and COPS", Internet Draft, August 1999, <<http://search.ietf.org/internet-drafts/draft-ekstein-roamops-protocol-00.txt>>

Hubaux et al., "The Impact of the Internet on Telecommunication Architectures", Computer Networks, Elsevier Science Publishers B.V., Amsterdam, NL, Feb. 11, 1999, Vol. 31, No. 3, pp. 257-273

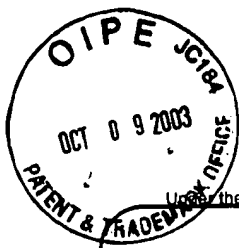
Kauser et al., "A Charging Model for Sessions on the Internet", Computers and Communications, 1999 Proceedings IEEE International Symposium on Red Sea, Egypt, July 6-8, 1999, Los Alamitos, CA, USA, IEEE Computer Society, US, July 6, 1999, pp. 32-38

Wright et al., "IP Telephony vs. ATM: What is There to Discuss?" 1998 1st IEEE International Conference on ATM, ICATM'98 Conference Proceedings, Colmar, France, June 22-24, 1998, IEEE International Conference on ATM, New York, NY, IEEE, US, June 22, 1998, pp. 400-409

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



#^{RS} 17 2664

11-26-03
PTO/SB/21 (05-03)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/416,101	
	Filing Date	October 12, 1999	
	First Named Inventor	Donovan et al.	
	Art Unit	2664	
	Examiner Name	B. Pham	
Total Number of Pages in This Submission	4+refs	Attorney Docket Number	RIC99027

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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Eden U.I. Stright, Reg. No. 51,205 WorldCom, Inc.
Signature	
Date	October 6, 2003

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
Typed or printed name	Pania Butcher		
Signature		Date	10-6-03

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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